



## INVESTMENT DIRECTIVE

1. ACCOUNT INFORMATION			
ACCOUNT OWNER NAME		ACCOUNT NUMBER	
EMAIL ADDRESS	Phone number	Fax Number	
2. TYPE OF INVESTMENT			
<input type="checkbox"/> <b>NEW PURCHASE</b> <input type="checkbox"/> <b>ADDITIONAL PURCHASE</b> <input type="checkbox"/> <b>EXCHANGE</b> <input type="checkbox"/> <b>REAL PROPERTY</b> <input type="checkbox"/> <b>LIMITED LIABILITY CORPORATION (LLC)</b> <input type="checkbox"/> <b>LIMITED PARTNERHSIP (LP)</b> <input type="checkbox"/> <b>C-CORPORATION</b> <input type="checkbox"/> <b>PROMISSORY NOTE</b> <input type="checkbox"/> <b>PRIVATE PLACEMENT</b> <input type="checkbox"/> <b>OTHER (PLEASE DESCRIBE):</b> _____ _____			
3. PROCESSING (CHECK ONE OPTION)			
<input type="checkbox"/> <b>EXPEDITED PROCESSING SERVICE (\$25.00)</b>  If there is sufficient cash in your account to process the transaction, expedited processing requests will generally be completed in approximately one business day unless corrections are required.  <input type="checkbox"/> <b>NORMAL PROCESSING SERVICE</b>  Normal Investment processing will be completed in approximately three business days unless corrections are required.			
4. DOCUMENT TITLING/VESTING INSTRUCTIONS			
The following are examples of titling/vesting to ensure that the supporting documentation is properly titled. <ul style="list-style-type: none"> <li>Investment titling directly to my IRA.  <b>"PREMIER TRUST, INC. CUSTODIAN FBO (ACCOUNT OWNER'S NAME), IRA"</b></li> <li>Investment titling if you have an UNDIVIDED INTEREST (less than 100% ownership)  <b>"PREMIER TRUST, INC. CUSTODIAN FBO (ACCOUNT OWNER'S NAME), IRA, (PERCENTAGE OF OWNERSHIP)%"</b></li> </ul> <b>TITLING/VESTING</b> – When purchasing an asset for your IRA account, it is imperative that it is property titled. Premier Trust, Inc., will not accept any investments which are not properly titled.			
5. INVESTMENT INFORMATION			
Name of Asset (INDIVIDUAL OR ENTITY NAME)		AMOUNT	
		\$	
CONTACT NAME AND PHONE FOR INVESTMENT		EMAIL ADDRESS	
ADDRESS	CITY	STATE	ZIP CODE



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<b>6. INVESTMENT FUNDING IINSTRUCTIONS</b>			
<b>AVAILABLE CASH FUNDS</b> (Cleared funds must be available in order to make an investment)			
<input type="checkbox"/> <b>SEND FUNDS BY WIRE**</b> (\$25.00 fee)			
Bank Name:		Bank Phone Number:	
ABA Routing #(9 digits):		Account Number & Name:	
For Credit To:		For Further Credit To:	
<b>7. SEND FUNDS BY CHECK</b>			
Make Check Payable To:			
Mail Check To:			
Address	City	State	Zip Code
<input type="checkbox"/> REGULAR CHECK OVERNIGHT MAIL (\$25.00) <input type="checkbox"/> REGULAR CHECK REGULAR MAIL (NO CHARGE) <input type="checkbox"/> REGULAR CHECK BILL THIRD PARTY			
Bill to Third Party:		Third Party Account Number	Third Party Zip Code
<input type="checkbox"/> FedEx <input type="checkbox"/> UPS			
**FUNDS WILL BE SENT VIA CHECK OR WIRE IN ACCORDANCE TO THE SUBSCRIPTION DOCUMENTS/INVESTMENT PAPERWORK IF NO OPTION IS CHOSEN**			
<b>8. PAYMENT OF FEES</b>			
How would you like to pay for any services related fees associated with this transaction?			
Choose payment method: <input type="checkbox"/> Deduct Fees from Account <input type="checkbox"/> Check Enclosed			
<b>IMPORTANT ACCOUNT OWNER INFORMATION – Check one of the following:</b>			
<input type="checkbox"/> I represent that <b>I AM NOT</b> an officer or director of the borrower or any affiliate thereof, <b>NOR AM I</b> related to any officer or director of the borrower or any affiliate thereof. I also represent that my ownership of this entity (combined with any family member or disqualified person) will be less than 50%.			
<input type="checkbox"/> I represent that <b>I AM</b> an officer or director of the borrower or its affiliate, <b>OR THAT I AM</b> related to an officer or director of the borrower or its affiliate. The nature of the relationship and the combined percentage of the entity owned by my account, any family member, or disqualified person are as follows:			
Nature of Relationship: _____			
Percentage Owned %: _____			



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### 9. LETTER OF UNDERSTANDING

This letter constitutes an understanding and acknowledgement by \_\_\_\_\_ (Name of Investment) and \_\_\_\_\_ (Account Owner Name) with regard to the role of Premier Trust, Inc. as a Retirement Account Custodian for the Retirement Account Holder, in connection with his/her investment in an INVESTMENT in the amount of \$\_\_\_\_\_:

- *Premier Trust has not solicited, recommended or sold this investment to the Retirement Account Holder.*
- *Premier Trust does not endorse this investment.*
- *Neither Premier Trust nor any private or government agency guarantee this investment.*
- *Premier Trust does not receive any compensation from the Investment Entity with regard to this investment (outside of Premier Trust's fees detailed in its fee agreement and/or custodial account agreement.)*
- *Through the Directions of Investment, Premier Trust, as Custodian for the Retirement Account Holder, merely follows the instructions of the Retirement Account Holder.*

The undersigned agrees to release, hold harmless and indemnify Premier Trust, Inc. with regard to any claims, liabilities, costs or expenses arising out of the investment and/or any dispute that may occur between the undersigned in the future regarding the investment. Each of the undersigned warrants that the undersigned understands the provisions of this letter and agrees to the bond by the indemnifications contained in the Premier Trust, Inc., Retirement Account Adoption Agreement and/or Custodial Account Agreement and Investment Directive. Premier Trust, Inc., may rely on this letter for purposes of accepting his/her investment instructions.

Premier Trust, Inc., does not investigate, sponsor, or endorse any investment product. You assume sole responsibility for the success or failure of your investment. You are responsible for directing the investment of assets in your account. Premier Trust, Inc., does not provide any investment advice, or recommend or evaluate the merits or suitability of any investment.

\_\_\_\_\_  
Account Owner's Signature

\_\_\_\_\_  
Date

### 10. PURPOSE OF DIRECTIVE

The purpose of this Investment Directive form is to initiate an Individual Retirement Account (IRA) investment with Premier Trust, Inc., for one of the following types of investments and the supporting documentation that is needed:

- **Real Property** – Purchasing or Closing documents or Deed
- **Promissory Note held by Deed of Trust** - Issuing New Note? Submit a copy of the Original Note, Assignment of Note and Deed of Trust. Buying and Existing Note? Submit a copy of the Original Note, Assignment of Note and Deed of Trust.
- **Promissory Note unsecured** - Submit copy of Original note.
- **Limited Liability Corporation (LLC), Limited Partnership, or Private Placement** –Submit a copy of the Original Subscription Agreement, Operating Agreement, Memorandum, and Tax ID.
- **C-Corporation/Private Stock** - Stock Certificates, Tax ID, Articles of Incorporation.
- **Precious Metal** – Depository contract

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1. I authorize and direct PTI to execute all documentation necessary to reflect that my Account is the registered owner of the investment. All income or other distributions associated with the investment will be paid into my Account and all expenses of or additional contributions to the investment will be paid from my Account and I will not directly accept any payments of income or other distributions nor pay any expenses of or contributions to the investment.
2. I understand that PTI will only purchase the investment with funds held in my Account and will not advance or loan my Account any funds to effect the purchase of the investment.
3. I understand and acknowledge that PTI does not offer any investment advice, nor does it endorse any investment, investment product or investment strategy, nor does it endorse any financial advisor, representative, broker, or other party involved with an investment selected by me. I have the sole responsibility to perform due diligence with respect to the investment and that PTI does not endorse any investment. Any review performed by PTI with respect to the investment is solely to determine if purchasing and holding the investment is administratively feasible within the guidelines established by PTI from time to time, and in no way should be construed as an endorsement of any investment, investment company or investment strategy. In addition, the acceptance of an investment should not be construed as an endorsement of any investment, investment company or investment strategy.
4. Neither PTI nor any employee or agent of PTI has selected or recommended any investment for me; and neither PTI nor any employee or agent of PTI has acted as a broker-dealer or salesperson in completing any purchase or sale of an investment of a security for me, except where PTI may purchase or sell a publicly-held security on my behalf, at my own direction through its affiliate that receives a commission for such transaction.
5. This investment is not insured by FDIC. I have reviewed and approved the terms of the investment. I acknowledge this investment may involve a substantial risk of loss, may lack liquidity and may result in a total loss of the investment. All risk and loss is borne by my Account.
6. If the investment described above is a private placement investment, I have read the private placement memorandum and all documents provided with respect to the offering. I have met all of the suitability requirements with respect to the investment. I have completed the subscription agreement and any other related required documents and direct PTI to sign all documents which must be executed to effect the purchase. PTI may rely on the representations I have made in the subscription agreement and any other related required documents, and I specifically indemnify PTI and hold it harmless from any damages or losses which arise from my representations in those documents.
7. If the investment described above is an investment in real estate, I have provided the appropriate completed documents and instructions to PTI and direct PTI to sign all documents which must be executed to effect the purchase. PTI may rely on any representations I have made with respect to the purchase of the real property. I understand that all expenses of maintaining the property are to be paid from my Account and any income generated by the property must be deposited into the Account. PTI is under no obligation to make any payment or collect any income unless directed by me to do so.
8. PTI shall be under no obligation or duty to investigate, analyze, monitor, verify title to or otherwise evaluate any investment contemplated herein, or to obtain or maintain insurance coverage (whether liability, property or otherwise) with respect to any assets or investment purchased by me. PTI shall not be responsible to take any action should there be any default with regard to this investment.
9. I acknowledge and represent to PTI that any investment I direct PTI to hold in my account which is a "security" under state or federal securities laws has been registered or is exempt from registration. PTI is relying on representations made by me with respect to the purchase of such investments and I agree to indemnify PTI and hold it harmless with respect to all costs and expenses arising out of the investment in the security.
10. It is not the responsibility of PTI to review the prudence, merits, viability or suitability of any investment made by me or to determine whether the investment is acceptable under ERISA, the Internal Revenue Code or any other applicable law. I understand that Section 4975 of the Internal Revenue Code prohibits certain transactions in individual retirement accounts and that determining whether a prohibited transaction has occurred is based on the facts and circumstances. I also understand that PTI will not determine whether a transaction is prohibited under Section 4975 of the Internal Revenue Code. I represent to PTI that I have determined, after consulting with my accountant and/or legal advisor, that the investment to be made under this



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investment direction is not a prohibited transaction. Should it later be determined that the transaction was prohibited, I understand that my Account will incur a taxable distribution and may be subject to penalties.

11. PTI does not provide legal or tax services or advice with respect to my investment; and the undersigned releases and indemnifies, and agrees to hold harmless and defend PTI in the event that my investment or sale of assets pursuant to the Investment Directive violates any federal or state law or regulation or otherwise results in a disqualification, penalty, fine or tax imposed upon the IRA, Premier Trust, or the undersigned
12. I understand that it is my responsibility to determine if my Account has generated unrelated business taxable income (UBTI) in any calendar year. I understand that it is also my responsibility to timely provide PTI with a completed tax form (Form 990-T) and to direct PTI to sign and file the tax return along with the tax, which amount will be taken from my Account. I understand that if there are insufficient funds in the Account to be able to pay the tax, I must direct PTI which assets to liquidate to be able to pay the tax and if I do not, PTI will liquidate sufficient assets to pay the tax. If there are insufficient assets to liquidate in the Account to pay the tax, I understand that PTI has no responsibility to pay the tax in the absence of funds therein. I indemnify and hold PTI harmless for any failure to prepare and file the tax return and pay the appropriate tax if I fail to timely provide the appropriate form and direction.

13. I understand that PTI must provide an annual statement of the fair market value of the Account and that, with respect to investments whose price is not publicly quoted, I must provide PTI with a valuation of such investment as of December 31<sup>st</sup> of each year (or as of a reasonably relevant date before) no later than January 31<sup>st</sup> of the following year. I also understand that if I do not provide such valuation, PTI may engage an independent third-party valuation firm to provide such fair market value and the cost of such valuation will be an expense of the Account. I indemnify and hold PTI harmless for any loss, damage, tax or other consequence to me or the Account arising from or related to the valuation of any assets of the Account.
14. I understand that PTI's duties and responsibilities are limited to those specified in this direction form and under the Individual Retirement Account Custodial Agreement and the related Disclosure Statement. PTI has no liability to me, my beneficiaries or my Account except for breach of the terms of this form, the Custodial Agreement and the Disclosure Statement, as those documents may be in effect from time to time. I indemnify and hold PTI harmless from any and all claims, damages, liability, actions, costs and expenses (including attorneys' fees) and responsibility for any loss to my Account, to me or to my beneficiaries or to PTI which may occur in connection with or by reason of its executing the transaction contemplated by this Investment Direction or by reason of holding the investment in my Account

My Retirement Account is self-directed and I, alone, am responsible for the selection, due diligence, management, review and retention of all investments in my account. I agree that PTI is not a "fiduciary" for my account, as said term is defined in the Internal Revenue Code, ERISA or any other applicable federal, state or local laws. I hereby direct PTI, in a passive capacity, to enact this transaction for my account, in accordance with my adoption agreement.

Account Owner Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Custodian's Signature: \_\_\_\_\_

Date: \_\_\_\_\_